

Serial No. 09/942,928

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Secter 118  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: David Mack et al.	Docket No.: 40053.011100
Serial No.: 09/942,928	Art Unit:
Filing Date: August 31, 2001	Examiner:
Title: System and Method for Tracking and Preventing Illegal Distribution of Proprietary Material Over Computer Networks	

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts mailed October 4, 2001, you are hereby authorized to charge the basic filing fee of \$355.00, the additional claims fee of \$485.00 (2 independent claims over 3 and 45 claims over 20), and the surcharge of \$65.00 to our Deposit Account No. 50-0653.

In addition the applicant encloses:

1. Copy of Notice to File Missing Parts dated 10/4/01
2. Substitute Drawings, 5 sheets (Figures 1 through 5)
3. Return postcard receipt

In the event applicant(s) has overlooked the need for any petition and fee for extension of time, and such extension is required applicant(s) requests that this be considered a petition therefore and that such fee be charged to Deposit Account No. 50-0653.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16, 1.17, 1.20 (a) and 1.20 (d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment, to Deposit Account No. 50-0653.

Respectfully submitted,

Mark A. Wurm  
Registration No. 31,682

Greenberg Traurig  
1750 Tysons Boulevard, 12<sup>th</sup> Floor  
McLean, Virginia 22102  
703-749-1355  
December 3, 2001

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
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COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231,  
ON Dec 3 2001  
BY Mark A. Wurm



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,928	08/31/2001	David Mack	40053.011100

**CONFIRMATION NO. 8945**

22191  
GREENBERG-TRAURIG  
1750 TYSONS BOULEVARD, 12TH FLOOR  
MCLEAN, VA 22102

**FORMALITIES LETTER**



\*OC000000006848039\*

Date Mailed: 10/04/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

01/22/2002 TRESHAM1 00000023 500653 09942928

**FILED UNDER 37 CFR 1.53(b)**

01 HC:201	370.00 CH
02 HC:203	+05.00 CH
03 HC:202	84.00 CH
04 HC:205	65.00 CH

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$485.
  - \$405 for 45 total claims over 20.
  - \$80 for 2 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 905.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE